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2/26/03Date: February 26, 2003Please confirm receipt ☒Total Number of Pages (including cover sheet): 9Confirmation by mail ☐Attorney Docket No.: 57636-8022.US00

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| To: |
| Examiner Holleran |
| Company: USPTO |
| Phone No.: 703.308.8892 |
| FAX No.: 703.746.4989 |

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| From: |
| Name: Susan L. Baka for Larry W. Thrower |
| Company: Perkins Coie LLP |
| Phone No.: 650 838-4300 |
| FAX No.: 650 838-4350 |

Re: U.S. Patent Application No.: 09/821,883; Filed March 30, 2001

Copies of the following documents which were mailed to the U.S. Patent and Trademark Office on October 1, 2002, are attached:

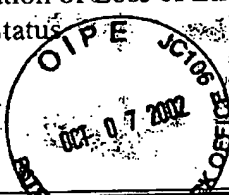
- Return Postcard Date Stamped by USPTO on October 7, 2002;
- Return Postcard;
- Transmittal Letter;
- Amendment in Response to Restriction Requirement; and
- Notification of Loss of Entitlement to Small Entity Status.

NOTE: The information contained in this facsimile message may be attorney-client privileged and contains confidential information intended only for the use of the individual named above and others who have been specifically authorized to receive it. If you are not the intended recipient, you are hereby notified that any dissemination, distribution of copy of this communication is strictly prohibited. If you have received this communication in error, please notify us immediately by telephone (650/838-4300) and return the original transmission to us by mail without making a copy.

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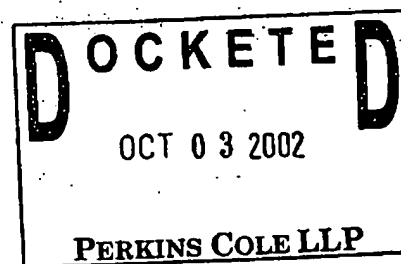
Perkins Coie LLP • 101 Jefferson Drive • Menlo Park, CA 94025

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|--|--|
| Attorney Docket No.: 57636-8022.US00 | Date Mailed: October 1, 2002 |
| Inventor/Applicant: Laus, et al. | |
| Serial/Patent No.: 09/821,883 | Filing/Issue Date: 03/30/2001 |
| <p>Papers Enclosed:</p> <ul style="list-style-type: none"><input checked="" type="checkbox"/> Transmittal Letter<input checked="" type="checkbox"/> Amendment in Response to Restriction Requirement<input checked="" type="checkbox"/> Notification of Loss of Entitlement to Small Entity Status | <p>Received by the U.S. Patent and Trademark Office.</p> |



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|--|--|---|
| Attorney Docket No.: 57636-8022.US00 | | Date Mailed: October 1, 2002 |
| Inventor/Applicant: Laus, et al. | | |
| Serial/Patent No.: 09/821,883 | | Filing/Issue Date: 03/30/2001 |
| Papers Enclosed: <input checked="" type="checkbox"/> Transmittal Letter <input checked="" type="checkbox"/> Amendment in Response to Restriction Requirement <input checked="" type="checkbox"/> Notification of Loss of Entitlement to Small Entity Status | | Received by the U.S. Patent and Trademark Office. |



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At: eoy Docket No. 57636-8022.US00

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C., 20231, on:

Date: 10-01-02By: Karen Y. Hui

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Laus, et al.
APPLICATION NO.: 09/821,883
FILED: March 30, 2001
FOR: COMPOSITIONS AND METHODS FOR DENDRITIC
CELL-BASED IMMUNOTHERAPY

EXAMINER:
JENNIFER ELIZABETH HUNT
ART UNIT: 1641
CONF. NO: 5347

Amendment Under 37 C.F.R. § 1.111

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

1. Transmitted herewith are the following:

- ☒ An Amendment in Response to Restriction Requirement
☒ Notification of Loss of Entitlement to Small Entity Status

2. Entity Status

- ☐ Small Entity Status (37 CFR 1.9 and 1.27) has been established by a previously submitted Small Entity Statement.

3. Conditional Petition for Extension of Time:

An Extension of Time is requested to provide for timely filing if necessary for timely filing of this transmittal and enclosures.

Respectfully submitted,
Perkins Coie LLP

Date: 10/01/02

Karen Y. Hui
Karen Y. Hui
Registration No. 44,785

Correspondence Address:

Customer No. 22918
Perkins Coie LLP

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Attorney Docket No. 57636-8022.US00

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C., 20231, on:

Date: 0-01-02By: Carina Hui

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Laus, et al.
APPLICATION NO.: 09/821,883
FILED: March 30, 2001
FOR: COMPOSITIONS AND METHODS FOR DENDRITIC
CELL-BASED IMMUNOTHERAPY

EXAMINER:
JENNIFER ELIZABETH HUNT
ART UNIT: 1641
CONF. NO: 5347

#10

Notification of Loss of Entitlement to Small Entity Status
(37 C.F.R. § 1.27(g)(2))

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicant hereby notifies the Patent and Trademark Office that it is no longer entitled to status as a small entity, and that the assertion for small entity status, set forth

(complete a and/or b as applicable)

- a. ☒ by paying the filing fee as a small entity on March 30, 2001.
b. ☐ by the paper filed on _____ (Date)

is no longer applicable.

Respectfully submitted,
Perkins Coie LLP

Date: 10/01/02

Karen Y. Hui
Karen Y. Hui
Registration No. 44,785

Correspondence Address:

Customer No. 22918
Perkins Coie LLP
P.O. Box 2168
Menlo Park, CA 94026

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Note: *"Notification of loss of entitlement to small entity status is required when issue and maintenance fees are due. Notification of a loss of entitlement to small entity status must be filed in the application or patent prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity as defined in paragraph (a) of this section is no longer appropriate. The notification that small entity status is no longer appropriate must be signed by a party identified in § 1.33(b). Payment of a fee in other than the small entity amount is not sufficient notification that small entity status is no longer appropriate." 37 C.F.R. § 1.27(g)(2).*

Note: *From the above portion of 37 C.F.R. § 1.27(g)(2), it is only a change in status "resulting in loss of entitlement to small entity status" that must be filed, and a change from one small entity status to another small entity status requires no notification.*

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